

REC'D PCT/PTO 28 APR 2006

FORM PTO-1390 (REV 07-2005)		ATTORNEY DOCKET NUMBER 4439-4042
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) TBA 10/577781
INTERNATIONAL APPLICATION PCT/JP2004/013061	INTERNATIONAL FILING DATE 8 September 2004	PRIORITY DATE CLAIMED 4 November 2003
TITLE OF INVENTION HYPERLIPIDEMIA/HYPERALBUMINEMIA MODEL ANIMAL		
APPLICANT(S) FOR DO/EO/US Masayoshi YAMAGUCHI		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none">1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.4. <input checked="" type="checkbox"/> The US has been elected. (Article 31).5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))<ol style="list-style-type: none">a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).6. <input checked="" type="checkbox"/> An English language translation of the International application as filed (35 U.S.C. 371(c)(2)).<ol style="list-style-type: none">a. <input checked="" type="checkbox"/> is attached hereto (Specification: 27 pgs., Claims: 3 pgs.; Abstract: 1 pg.; and 8 Sheets of Formal Drawings (Figs. 1-15)).b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))<ol style="list-style-type: none">a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).b. <input type="checkbox"/> have been communicated by the International Bureau.c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.d. <input type="checkbox"/> have not been made and will not be made.8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).10. <input type="checkbox"/> An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
Items 11 to 20 below concern document(s) or information included:		
<ol style="list-style-type: none">11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98, Forms PTO-1449A and PTO-1449B, with copies of all cited references.12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.13. <input type="checkbox"/> A preliminary amendment.14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.15. <input type="checkbox"/> A substitute specification.16. <input type="checkbox"/> A power of attorney and/or change of address letter.17. <input checked="" type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821-1.825 with the paper copy of the sequence listings: 3 pgs. and Sequence Listing Statement.18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).20. <input checked="" type="checkbox"/> Other items or Information:		
Copies of PCT Request document; Forms: PCT/ISA/202; PCT/ISA/210; PCT/ISA/220; PCT/ISA/237; PCT/IB/301; PCT/IB/304, PCT/IB/308; PCT/IB/332; PCT/IPEA/402; PCT/IPEA/409; PCT/IPEA/416 and published PCT application Publication Number WO 2005/041648 A1, published on 12 May 2005.		

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) TBA 10/577781		INTERNATIONAL APPLICATION NO. PCT/JP2004/013061		ATTORNEY'S DOCKET NO. 4439-4042																																																																																																																																																																															
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Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> </td> </tr> <tr> <td colspan="6">SEND ALL CORRESPONDENCE TO:</td> </tr> <tr> <td colspan="3">Enter Address Here Morgan & Finnegan, L.L.P. 3 World Financial Center New York, New York 10281-2101 Tel: (212) 415-8700 Fax: (212) 415-8701</td> <td colspan="3">Signature <u>Kenneth H. Sonnenfeld / Michael A. 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Attorney's Docket No. 4439-4042
IAP17 Rec'd PCT/PTO 28 APR 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Yamaguchi MASAYOSHI

Filed: Herewith

Serial Number: TBA

For: HYPERLIPEMIA/HYPERALBUMINEMIA MODEL ANIMAL

Statement Under 37 C.F.R. §1.821(f) or §1.825(b)

Mail Stop PCT
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that:

The paper Sequence Listing submitted herewith and computer readable Sequence Listing attached hereto are identical (37 C.F.R. §1.821(f)).

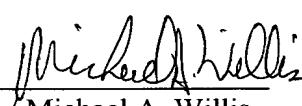
The substitute paper Sequence Listing and substitute computer readable Sequence Listing submitted herewith are identical. No new matter is included (37 C.F.R. §1.825(b)).

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Date: 28 April 2006

By:


Kenneth H. Sonnenfeld / Michael A. Willis
Registrations Nos. 33,285/53,913

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.
Three World Financial Center
New York, NY 10281-2101
(212) 415-8700 Telephone
(212) 415-8701 Facsimile

IN THE UNITED STATES

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RECEIVING OFFICE (RO/US)
 DESIGNATED OFFICE (DO/US)
 ELECTED OFFICE (EO/US) 10/577781

INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED
PCT/JP2004/013061 8 September 2004 4 November 2003

TITLE OF INVENTION
HYPERLIPEMIA/HYPERALBUMINEMIA MODEL ANIMAL

APPLICANT(S)
Masayoshi YAMAGUCHI

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

ATTN: DO/EO/US

**VERIFIED CERTIFICATION OF EXPRESS MAILING DATE
(INTERNATIONAL APPLICATION (37 CFR 1.10(c)))**

I declare that on **April 28, 2006** I deposited with the United States Postal Service in an envelope "Express Mail, Post Office to Addressee", bearing Label Number **EV 826870496 US**, addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Attention: DO/EO/US and having an express mail certification which I executed, the following papers:

1. Transmittal Letter to the United States Designated/Elected Office;
2. English Translation of the International Application: (Specification: 27 pgs; Claims: 3 pgs; Abstract: 1 pg; Drawings: 8 sheets (Figs. 1-15));
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6. Information Disclosure Statement;
7. PTO-Form 1449A with copies of three (3) cited references;
8. PTO-Form 1449B with copies of three (3) cited references; and
9. Return receipt postcard.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

Michael Willis

(Typed or printed name of person making this verified statement)



(Signature of person making this verified statement)

April 28, 2006
Date

(Verified Certification of Express Mailing Date (International Application) [13-12])